

DAE
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PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450 on May 30, 2006.

[Signature]

Jeffrey D. Myers, Reg. No. 35,964

May 30, 2006
(Date)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Ekkehard Hubertus Brück, Ojiyed Tegusi :
and Frank Roelof De Boer :
Patent No. :
Serial No. 10/769,658 :
Issued: Unknown :
For: Material for Magnetic Refrigeration, Preparation :
and Application :

TO: **Commissioner for Patents**
P. O. Box 1450
Alexandria, Virginia 22313-1450

ATTENTION: Certificate of Corrections Branch
of the Patent Issue Division

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT
FOR APPLICANT'S MISTAKE (37 C.F.R. 3.81(b))

1. It is noted that an error appears in this patent of a
- ☐ clerical
 - ☒ typographical
 - ☐ minor

nature or character as more fully described in the attached Certificate of Correction. It occurred in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. A corrected certificate showing the correct name of an Assignee is requested.

2. Attached hereto, in duplicate, is **Form PTO/SB/44** with attached Exhibits.

06/05/2006 AWONDAF1 00000060 10769658

01 FC:1811 100.00 OP
02 FC:1464 130.00 OP

3. Please send the Certificate to:

NAME: Jeffrey D. Myers
ADDRESS: PEACOCK MYERS, P.C.
P.O. Box 26927
Albuquerque, New Mexico 87125-6927

4. Please pay the fee of \$100.00, as required by 37 C.F.R. 1.20(a), as follows:

☒ Enclosed is a check for \$100.00

5. Please pay the fee of \$130.00, as required by 37 C.F.R. 1.17(i), as follows:

☒ Enclosed is a check for \$130.00

6. Commissioner is authorized to charge any additional fees or credit overpayments to Deposit Account **13-4213**. A duplicate of this request is attached.



SIGNATURE OF ATTORNEY

Reg. No.: 35,964

Jeffrey D. Myers
(type or print name of attorney)

Tel. No.: (505) 998-1500
Fax No.: (505) 243-2542

PEACOCK MYERS, P.C.
P.O. Box 26927
Albuquerque, New Mexico 87125-6927

CUSTOMER NO. 005179

NOTE: The certificate of correction for applicant's mistake may be signed by the attorney of record, unlike that for PTO mistake where the patentee or an owner of an interest in the invention must make the request.

Request for Certificate of Correction of Patent for Applicant's Mistake (37 C.F.R. 3.81(b)) and (37 C.F.R. 1.323)
[Page 2 of 2]

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. :
APPLICATION NO.: 10/769,658
ISSUE DATE : Unknown
INVENTOR(S) : Ekkehard Hubertus Bruck, Ojiyed Tegusi, Frank Roelof De Boer.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

The Notice of Allowance for the patent application was mailed 02/17/2006 (Exh.1) and the Part B-Fee Transmittal (PTOL-85) and Issue Fee was paid and filed in the U.S. Patent and Trademark Office on May 11, 2006 (Exh.2).

In block 3 of the PTOL-85, Assignee Name and Residence Data to be Printed on the Patent, there are multiple Assignees. The first entry for "STICHTING VOOR DE TECHNISCHE WETENSCHAPPEN" is correct.

In block 3 of the PTOL-85, Assignee Name and Residence Data to be Printed on the Patent, there is a typographical error and omission in 3(A) Name of Assignee. As submitted the Assignee named as "UNIVERSITEIT VAN AMSTERDAM, FACULTEIT DER NATUURWETENSCHAPPEN" is an incomplete name.

The correct name to be printed for this Assignee is: "UNIVERSITEIT VAN AMSTERDAM, FACULTEIT DER NATUURWETENSCHAPPEN WISKUNDE EN INFORMATICA".

The residence "Amsterdam, The NETHERLANDS" in 3(B) for this Assignee is correct as submitted.

The requested correction for the complete name "UNIVERSITEIT VAN AMSTERDAM, FACULTEIT DER NATUURWETENSCHAPPEN WISKUNDE EN INFORMATICA" is the name shown for the Assignee at the Notice of Recordation of Assignment for Serial Number 10769658, recorded 08/13/2004 at reel/frame: 015062/0069 in the U.S. Patent and Trademark Office (Exh.3).

Applicant requests that the Assignee name in block 3 of the PTOL-85, Assignee Name and Residence Data to be Printed on the Patent, be corrected and printed on the patent as: "UNIVERSITEIT VAN AMSTERDAM, FACULTEIT DER NATUURWETENSCHAPPEN WISKUNDE EN INFORMATICA"

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Jeffrey D. Myers
Peacock Myers, P.C.
P.O. Box 26927
Albuquerque, New Mexico 87125-6927

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
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www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

005179 7590 02/17/2006
PEACOCK MYERS, P.C.
201 THIRD STREET, N.W.
SUITE 1340
ALBUQUERQUE, NM 87102

*Issue/Publ. Fee due
3/17/06*

EXAMINER	
DOERRLER, WILLIAM CHARLES	
ART UNIT	PAPER NUMBER
3744	
DATE MAILED: 02/17/2006	

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/769,658	01/30/2004	Ekkehard Hubertus Bruck	30394-1115	8574

TITLE OF INVENTION: MATERIAL FOR MAGNETIC REFRIGERATION PREPARATION AND APPLICATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	05/17/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS** FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or **Peacock Myers P.C.**
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 or **Fax** (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

005179 7590 02/17/2006

PEACOCK MYERS, P.C.
 201 THIRD STREET, N.W.
 SUITE 1340
 ALBUQUERQUE, NM 87102

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Jeffrey D. Myers, Reg. No. 35,964 (Signature)
 May 11, 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/765,658	01/30/2004	Ekkhard Hubertus Bruck	30394-1115	8574

TITLE OF INVENTION: MATERIAL FOR MAGNETIC REFRIGERATION PREPARATION AND APPLICATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	05/17/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
DOERRER, WILLIAM CHARLES	3744	062-003100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Jeffrey D. Myers
 2 Vidal A. Oaxaca
 3 Peacock Myers, P.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Stichting voor de Technische Wetenschappen

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Utrecht, The NETHERLANDS

Universiteit van Amsterdam, faculteit der Natuurwetenschappen Amsterdam, The NETHERLANDS

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 13-4213 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____

Date May 11, 2006

Typed or printed name Jeffrey D. Myers

Registration No. 35,964

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

V, Wiskunde en Informatica

EXHIBIT

2



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



700107004

SEPTEMBER 01, 2004

PTAS

PEACOCK, MYERS AND ADAMS P.C.
P.O. BOX 26927
ALBUQUERQUE, NM 87125-6927

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/13/2004

REEL/FRAME: 015062/0069
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BRUCK, EKKEHARD HUBERTUS

DOC DATE: 04/08/2004

ASSIGNOR:

TEGUSI, OJIYED

DOC DATE: 04/08/2004

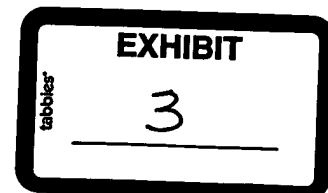
ASSIGNOR:

DE BOER, FRANK ROELOF

DOC DATE: 04/08/2004

ASSIGNEE:

STICHTING VOOR DE TECHNISCHE
WETENSCHAPPEN
VAN VOLLENHOVENLAAN 661
3527 JP UTRECHT, NETHERLANDS



015062/0069 PAGE 2

ASSIGNEE:

UNIVERSITEIT VAN AMSTERDAM,
FACULTEIT DER WISKUNDE EN
INFORMATICA
SPUI 21
1012 WX AMSTERDAM, NETHERLANDS

SERIAL NUMBER: 10769658

FILING DATE: 01/30/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: MATERIAL FOR MAGNETIC REFRIGERATION PREPARATION AND APPLICATION

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS